Directly" funded means costs that can be specifically identified with a particular project or activity. See [NIH Grants Policy Statement, Rev. 12/2003](https://legacy.vanderbilt.edu/owa/redir.aspx?C=a7491fa757374635af82657a54e7aed8&URL=http%3a%2f%2fgrants.nih.gov%2fgrants%2fpolicy%2fnihgps_2003%2fNIHGPS_Part2.htm%23_Toc54600040).

1.    **To what types of papers does the NIH Public Access Policy apply?**

See [http://publicaccess.nih.gov/determine\_applicability.htm](https://legacy.vanderbilt.edu/owa/redir.aspx?C=a7491fa757374635af82657a54e7aed8&URL=http%3a%2f%2fpublicaccess.nih.gov%2fdetermine_applicability.htm)

2.    **Does the Public Access Policy apply to dissertations, book chapters, conference proceedings, or letters to the editor?**

No. See [http://publicaccess.nih.gov/determine\_applicability.htm](https://legacy.vanderbilt.edu/owa/redir.aspx?C=a7491fa757374635af82657a54e7aed8&URL=http%3a%2f%2fpublicaccess.nih.gov%2fdetermine_applicability.htm)

3.    **My paper is based on research only partially funded by NIH. Is the paper required to be submitted?**

Yes, the Public Access Policy applies to any manuscript that arises from any amount of direct funding from the NIH.  See [http://publicaccess.nih.gov/determine\_applicability.htm](https://legacy.vanderbilt.edu/owa/redir.aspx?C=a7491fa757374635af82657a54e7aed8&URL=http%3a%2f%2fpublicaccess.nih.gov%2fdetermine_applicability.htm).

4.    **My paper is based on research funded by NIH but does not fall under the Public Access policy timeframe (e.g., grant or cooperative agreement that expired before Fiscal Year 2008 or an NIH contract awarded before April 7, 2008). May I submit it?**

You are not required to submit it, but you may if you have appropriate copyright permission.  See [http://publicaccess.nih.gov/determine\_applicability.htm](https://legacy.vanderbilt.edu/owa/redir.aspx?C=a7491fa757374635af82657a54e7aed8&URL=http%3a%2f%2fpublicaccess.nih.gov%2fdetermine_applicability.htm).

5.    **Am I responsible for papers that arise from my NIH-funded project for which I am not an author?**

Principal Investigators and their Institutions are responsible for ensuring all terms and conditions of awards are met. This includes the submission of final peer-reviewed manuscripts that arise directly from their awards, even if they are not an author or co-author of the paper. Principal Investigators and their Institutions should ensure that authors are aware of and comply with the NIH Public Access Policy.

6.    **Is the NIH Public Access Policy a condition of award?**

The NIH Public Access Policy is a Term and Condition of Award for all grants and cooperative agreements active in Fiscal Year 2008 (October 1, 2007- September 30, 2008) or subsequent fiscal years, and for all contracts awarded after April 7, 2008.

PubMed Central (PMC) reference number (e.g., PMCID234567) for each article. If the PMCID is not yet available because the Journal submits articles directly to PMC on behalf of their authors, indicate "PMC Journal - In Process."

Citations that are not covered by the Public Access Policy, but are publicly available in a free, online format may include URLs or PubMed ID (PMID) numbers along with the full reference (note that copies of publicly available publications are not acceptable as appendix material.)

**Include PMCID in Citations**

**Anyone submitting an application, proposal or report to the NIH must include the PMC reference number (PMCID) when citing applicable papers that they author or that arise from their NIH-funded research.**

**How to Cite**

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**For papers published more than 3 months before an application, proposal and report is submitted:**

**List the PubMed Central reference number (PMCID) at the end of the full journal citation for the paper in NIH applications, proposals and reports.  A PMCID is the only way to demonstrate compliance for these papers.**

**For papers in press, or published within 3 months of when an application, proposal or report is submitted:**

**When using Submission Method A or B, indicate “PMC Journal - In Process” or the PMCID at the end of the full citation.**

**When using Submission Method C or D, provide a valid NIH Manuscript Submission System reference number (NIHMSID) or PMCID at the end of the full citation. Note, NIH awardees are responsible for ensuring that all steps of the NIHMS submission process are complete within three months of publication.**

**2. Place the Literature Citations in the appropriate location.**

**The appropriate locations for literature citations vary depending on the application type.  See the Guide Notice NOT-OD-08-119 for details.**

**The Difference Between a PMCID and a PMID**

**The PubMed Central reference number (PMCID) is different from the PubMed reference number (PMID). PubMed Central is an index of full-text papers, while PubMed is an index of abstracts. The PMCID links to full-text papers in PubMed Central, while the PMID links to abstracts in PubMed. PMIDs have nothing to do with the NIH Public Access Policy.**

**Examples of Citations**

Sala-Torra O, Gundacker HM, Stirewalt DL, Ladne PA, Pogosova-Agadjanyan EL, Slovak ML, Willman CL, Heimfeld S, Boldt DH, Radich JP. Connective tissue growth factor (CTGF) expression and outcome in adult patients with acute lymphoblastic leukemia. Blood. 2007 April 1; 109(7): 3080–3083. PMCID: PMC1852221

Cerrato A, Parisi M, Santa Anna S, Missirlis F, Guru S, Agarwal S, Sturgill D, Talbot T, Spiegel A, Collins F, Chandrasekharappa S, Marx S, Oliver B. Genetic interactions between Drosophila melanogaster menin and Jun/Fos. Dev Biol. 2006 Oct 1; 298(1): 59-70. PMCID: PMC2291284

**Examples, before the PMCID is available:**

For Submission Methods A and B, use “PMC Journal - In Process”:  
Example: Sala-Torra O, Gundacker HM, Stirewalt DL, Ladne PA, Pogosova-Agadjanyan EL, Slovak ML, Willman CL, Heimfeld S, Boldt DH, Radich JP. Connective tissue growth factor (CTGF) expression and outcome in adult patients with acute lymphoblastic leukemia. *Blood.* [*a publication date within 3 months of when the application, proposal or report was submitted to NIH*]. PMCID: PMC Journal - In Process

For Submission Methods C and D, use the NIHMSID:  
Example: Cerrato A, Parisi M, Santa Anna S, Missirlis F, Guru S, Agarwal S, Sturgill D, Talbot T, Spiegel A, Collins F, Chandrasekharappa S, Marx S, Oliver B. Genetic interactions between *Drosophila melanogaster* menin and Jun/Fos. *Dev Biol*. In press. NIHMSID: NIHMS44135

***Note:* NIH expects NIH applications, proposals and reports to provide the most up-to-date information available on Public Access Policy compliance.  The NIH Manuscript Submission reference number (NIHMSID) or "PMC Journal - In Process" should not be used once the PMCID is available.  Previously submitted applications, proposals and reports need not be updated when the PMCID becomes available.**

**The Policy applies to any manuscript that:**

* **Is peer-reviewed;**
* **And, is accepted for publication in a journal on or after April 7, 2008;**
* **And, arises from:** 
  + **Any direct funding1 from an NIH grant or cooperative agreement active in Fiscal Year 2008 or beyond, or;**
  + **Any direct funding from an NIH contract signed on or after April 7, 2008, or;**
  + **Any direct funding from the NIH Intramural Program, or;**
  + **An NIH employee**

**Until further notice, papers written in scripts other than Latin (e.g., Russian, Japanese) cannot be processed by the** [**NIHMS**](https://legacy.vanderbilt.edu/owa/redir.aspx?C=a7491fa757374635af82657a54e7aed8&URL=http%3a%2f%2fnihms.nih.gov%2f)**.  These papers are not required to be posted on PubMed Central and do not require evidence of compliance on applications, proposals or reports.  The NIHMS continues to process papers written in Latin (Roman) script that contain characters and fonts used in standard mathematical notation.**

**Authors may submit final peer-reviewed manuscripts accepted before April 7, 2008 that arise from NIH funds, if they have appropriate copyright permission.  
  
1 "Directly" funded means costs that can be specifically identified with a particular project or activity. See** [**NIH Grants Policy Statement, Rev. 12/2003**](https://legacy.vanderbilt.edu/owa/redir.aspx?C=a7491fa757374635af82657a54e7aed8&URL=http%3a%2f%2fgrants.nih.gov%2fgrants%2fpolicy%2fnihgps_2003%2fNIHGPS_Part2.htm%23_Toc54600040)**.**

**Applications, Proposals and Reports must include** [**evidence of compliance**](https://legacy.vanderbilt.edu/owa/redir.aspx?C=a7491fa757374635af82657a54e7aed8&URL=http%3a%2f%2fpublicaccess.nih.gov%2fcitation_methods.htm) **with the NIH Public Access Policy for all applicable papers that are authored by the Principal Investigator (PI) or arose from the PI’s NIH funds.**